

26 September 2022

New BrainTrade File Service - Update

Dear Ladies and Gentlemen,

in the near future, Deutsche Börse AG will offer those files having been allocated via the „BT Fileservice“ hitherto, in the name of BrainTrade GmbH, via the „Member Service“ portal instead, incorporating heightened security demands.

All XONTRO participants are kindly requested to register for participation at the XONTRO Member Support team in due time (for contact details cf. below chapter 8). The shift will take place on Monday, November 21st, 2022. Prior to this, beginning on October 24, 2022, the option to participate in a simulation will be given. After the beginning of the production launch, both the old and the new methods will be run in parallel for four weeks until Thursday, December 15, 2022, end of day.

The existing data transfers via Connect:Direct (c:d) or NJE will not be affected by this measure!

| HITHERTO |
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| BrainTrade File Service (Data from production IMS1) Access via https://www.deutsche-boerse-cash-market.com/ Menu item „Member Section – BT Fileservice“ Target: https://deutsche-boerse.com/dbg/app/open/hostfileserviceprod |
| Host-Fileservice (Data from simulation / test IMS27) Access via https://www.deutsche-boerse-cash-market.com/ Menu item „Member Section – Further Logins – BrainTrade File Services“ Target: https://deutsche-boerse.com/dbg/app/open/hostfileservice |

| IN THE FUTURE |
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| DBAG Portal „Member Section“ (Data from production IMS1) Access via https://membersection.deutsche-boerse.com/ Menu item „XONTRO Files“ |

Registration for participation

The new system provides for each participant to have a “central co-ordinator” for the member section. All participants are kindly requested to name such a central co-ordinator member-internally. (Note: The central co-ordinator may define users as deputies, as well as set up users having read access only.)

This central co-ordinator is hereby kindly requested to report for member section participation, via phone or via e-mail, at the XONTRO Member Support team (for contact details cf. below chapter 8). All further steps, including the central co-ordinator user ID setups, will be co-ordinated there. In case the setup of a new Deutsche Börse Member ID logon admission will be deemed necessary, then this step will be taken by a separate Deutsche Börse AG team. (Note: The allocation of a Deutsche Börse AG Member ID may be considered as a purely technical measure; it may not be regarded as a membership at Deutsche Börse AG or any of their markets operated by them; XONTRO is not a Deutsche Börse AG marketplace.)

Further notes

1. New 2-factor-authentication procedure along with member section login

Member section portal logon will have to be done using a personalized user and is safeguarded with a 2-factor-authentication process. Along with each login request, a new one-time-password will be sent to the user, by which means the logon may have to be completed then. Participants may choose if the password will be sent by e-mail or be generated using the ForgeRock “Authenticator” mobile app. This app may be downloaded from the Google and Apple playstores.

2. Unchanged data allocation

Within the member section portal, there will be no data warehouse modifications, as compared to the BT file service used today. In addition, the file names, as well as their retention period, will remain unchanged.

3. Costs

The usage of the current file service is free of charge for all XONTRO members.

The future file service via the Deutsche Börse AG member service portal will likewise be free of charge for all XONTRO participants.

4. Replacement timing schedule

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| Simulation phase Member Section | 24.10.2022 – 18.11.2022 |
| Production start Member Section | 21.11.2022 |
| Parallel operation File Services & Member Section | 21.11.2022 – 15.12.2022 |
| Operation suspension file services | 15.12.2022 end of day |

5. Training sessions

Due to the clarity of the member section functional scope, Deutsche Börse AG will, other than having been announced previously, not offer any online training sessions. XONTRO Member Support will, however, assist you with any of your queries (cf. below chapter 8).

6. User manual

Deutsche Börse AG has provided a general user manual for their “Member Section” portal, to be retrieved under <https://www.eurex.com/resource/blob/2421576/f92a17f1d5787902cc673bf92b49aa88/data/Member-Section-User-Guide.pdf>

A detailed user manual for the XONTRO realm is yet to be delivered within a short time period.

7. Simulation guide

Due to the clarity of the member section functional scope, there will be no separate simulation guide to be published. The participants are kindly requested to perform the following actions for XONTRO within the member section during the simulation period:

- a) Login to be performed by the central co-ordinator
- b) Set up of a deputy user by the central co-ordinator
- c) Set up of a sub user by the central co-ordinator
- d) Download and control of files by these users. Production data will be displayed within the simulation environment, these may then be compared to the data from the hitherto existing file service application.

Participants are kindly requested to report any errors or inconsistencies to the supporting staff (cf. Chapter 8 – contact).

The following data will be provided – this list corresponds to the current one:

| XONTRO data from production IMS1 | XONTRO data from simulation / test IMS27 |
|---|---|
| Banks <ul style="list-style-type: none">- Contract notes- Price differences list- Brokerage list- Exchange trading place fees- Depository banks only- Order inventory list- Order history- File historical messages | <ul style="list-style-type: none">- Contract notes – data carrier- Price differences list- Brokerage list- Depository banks only |
| Intermediaries <ul style="list-style-type: none">- Broker diary- Price differences list- Brokerage list- Broker entry journal- Orderbook journal | |
| HÜSt (Market Surveillance) <ul style="list-style-type: none">- Cash market trading list- Aufgaben (pending trades) surveillance list- Broker surveillance list- Zwangsaufgaben (forced pending trades) list | |

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| MiFID Daily report | |
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8. Contact and support prior and during simulation phase

XONTRO Member Support:

Phone: +49 69 / 58 99 78-120

E-Mail: trade@xontro.de

On exchange trading days from 07.30 h am thru 06.00 h pm.

9. Contact and support during production phase

„Member Section“ Portal Support:

Phone: +49 69 / 211-178 88

E-Mail: member.section@deutsche-boerse.com

On exchange trading days from 09.00 h am thru 04.00 h pm.

Attention: please do use this contact details as 1st level support not any earlier than 21.11.2022.

XONTRO Member Support:

Phone: +49 69 / 58 99 78-120

E-Mail: trade@xontro.de

On exchange trading days from 07.30 h am thru 06.00 h pm.